Rev.(12-09)

DIRECTIONS FOR BIDDING, ADVERTISING, EXECUTION AND COMPLETION OF CONTRACTS USING STATE LIQUID FUELS FUNDS.

Attached for your convenience in advertising, awarding and completing a contract for the proposed project in your municipality are the following forms:

Step 1. (1) Copy of **MS-329, Project Approval** form, issued by Municipal Representative during initial appointment to set-up project.

Step 2. (2) Copies of the - **Form of Advertisement**, on which it will be necessary to insert the actual time and date that bids are to be opened.

ADVERTISING REQUIREMENTS - Recent amendments to the various municipal codes, relating to bidding and advertising requirements, necessitate a constant review by respective municipalities to insure compliance.

Step 3. (2) Copies of **MS-981**. Attach to each, a copy of the newspaper advertisement; record the name and address of each bidder and the amount of their bid. Record to whom the contract was awarded, their address, and the dollar amount.

Please have the proper officials and yourself sign the resolution at the bottom of the MS-981. Place your municipal seal where designated and forward one (1) copy to this office as soon as possible after bid opening. An acceptance resolution must be part of your municipality's minutes.

Step 4. (3) Copies of **MS-339 Application for County Aid** (for municipalities who are eligible for county aid). **First - Municipal Secretary to complete Section 1 and forward all copies to the County, Next - County to complete Section 2 and immediately return all copies to Municipal Services for approval.**

Step 5. (2) Copies of Form **MS - 944 Proposal and Contract, Proposal and Contract Instructions - Form 944, Attachment 1- Schedule of Prices, Special Provisions to Contract MS-944 (Attachment "1-A")**. One copy of each will be furnished to each prospective bidder to be used as a proposal, when completed by the municipality. As required by Publication 408, Section 102.06(e),each bidder must submit a completed **Form 7126 - Anti-Collusion Affidavit** with its bid proposal.

Step 6. (2) Copies of **Attachment 2 - Performance Bond, Attachment 3 – Payment Bond, Attachment 4 – Affidavit RE Accepting Provisions of the Workmen's Compensation Act, and Form MS – NCP - Notice of Completion**. One copy of each will be completed by the successful bidder and returned to the municipality. Return an executed copy of the MS-944 Proposal and Contract, and Bonds to the contractor.

At this point return one (1)-completed copy of all the above documents to this office, and keep a completed copy for your files.

Upon project completion, <u>an itemized final invoice and completed NCP Form are required</u> to be supplied to the municipality from the contractor. Send a copy to the Municipal Services Unit and call us for a final inspection. A representative will set up a final inspection appointment. After the final inspection and approval of the project, an MS-999 Final Completion Report will be issued the municipality for signature and seal. Return all completed copies of MS-999 to Municipal Services for signature. An approved copy will be returned for your records.

FORM OF
ADVERTISEMENT

Chippewa Township (City, Borough, Twp.: 1st. Cl. or 2nd Cl.) of Beaver (County)

at 2811 Darlington Road Beaver Falls PA. 15010 (Address)

until 3:00 PM (Time), on July 7, 2020 (Month-Day-Year), for the following:

Options	Item Number	Quantity	Unit	Description

(NOTICE TO ADVERTISER, #N/A Indicates there is no information on that line.)

Liquidated damages apply at the rate of $ 870.00 per calendar day.

Proposals must be upon the forms furnished by the Municipality.

For third class cities the bid must be accompanied by a certified check or bid bond in the amount of 10 % of the bid, made payable to the municipality. For other municipal types it is the discretion of the municipality.

A performance bond or certified check in the amount of 100% of the contract shall be furnished by the successful bidder within 20 days after the contract is awarded.

The Municipality reserves the right to reject any or all proposals.

Chippewa Township
(City, Borough, Twp: 1st. Cl. Or 2nd Cl.

BY: Mark Taylor
(Name)

Township Manager
(Title)

June 22, 2020
(Date)

THIS ADVERTISEMENT ENDS HERE

FOR DEPARTMENT USE ONLY

This advertisement meets the requirements of regulation 1300.

MS-981 (7-09)
First Edition

pennsylvania
DEPARTMENT OF TRANSPORTATION

ATTACH

NEWSPAPER

CLIPPING

HERE

AND RETURN TO

County: Beaver Bids Open: 10:45 AM

Municipality: Chippewa Township Date: July 8, 2020

Project Advertised

1 st Date 06/25/2020
2nd Date 07/01/2020

Newspaper

X Daily
___ Weekly

___ One Bidder Only

BIDDER	ADDRESS	AMOUNT
CONTRACT AWARDED TO:		

Remarks:

DATE : _____

Resolution: The Officials of Chippewa Township by majority action have accepted the Low bid and awarded the contract as indicated above.

Jim Bouril
Chairman-President of Council-Mayor

Nancy Lamey
Supervisor-Other Official

Daniel woodske
Supervisor- Other Official

_____
Secretary-Seal

MS-944 (7-09)

pennsylvania
DEPARTMENT OF TRANSPORTATION

PROPOSAL AND CONTRACT
(WHEN EXECUTED)

INSTRUCTIONS ON PAGE 4

THIS PROPOSAL INCLUDES INSTRUCTIONS TO BIDDERS

A. DEPOSIT OF PROPOSALS.

All envelopes containing Bid proposals shall be clearly marked "Bid Proposal for letting of July 7, 2020 **."**
DATE

Chippewa Township
MUNICIPALITY (NAME & TYPE)

Mark Taylor
SECRETARY

Sealed Proposals will be received on or before 3:00 PM **on the above Letting Date.**
TIME

2811 Darligton Road Beaver Falls PA. 15010
ADDRESS

Bids will be opened and read at approximately 10:45AM 7/8/20 **on the above Letting Date.**
TIME

PROPOSALS MUST BE MAILED OR OTHERWISE DELIVERED TO THE ABOVE ADDRESS.

1 The contractor proposes to furnish and deliver all materials (including Form CS-4171, CERTIFICATE OF COMPLIANCE and/ or TR-465 DAILY BITUMINOUS MIXTURE CERTIFICATION) and to do and perform all work on the following project as more specifically set forth in the Schedule of Prices (Attachment), in accordance with drawings and specifications on file at as well as the supplements and special requirements contained herein and/ or attached hereto and current PennDOT Specifications (Publication 408), except (a) bidders need not be prequalified by PennDOT (Sec.102.01), and (b) Volumetric testing of bituminous paving materials is not required (Sec. 409).

2 If designated as the successful bidder, the contractor will begin work on the date specified in the notice to proceed, or as otherwise provided in the special requirements, and will complete all work within **see attachment 1-A** calendar days.

3 Accompanying this proposal is a certified check or bid bond in the amount of 10% made payable to the municipality as a proposal guarantee which, it is understood, will be forfeited in case the contractor fails to comply with the requirements of the proposal.

B. PROPOSAL OF:

NAME / ADDRESS OF CONTRACTOR

CONTRACTORS CERTIFICATION

It is hereby certified as follows:

1 The only person interested in the proposal as principal (s) is (are):

2 None of the above persons are employees of the municipality.

3 This proposal is made without collusion with any other person, firm or corporation.

4 All plans and specifications referred to above and the site of the work have been examined by the contractor. The contractor understands that the quantities indicated herein are approximate and are subject to change as may be required; and that all work is payable on the basis of the unit price listed on the Schedule of Prices. (Attachment 1).

5 The contractor will comply with all requirements of the laws and implementing regulations of the Commonwealth of Pennsylvania and the United States relating to human relations, equal opportunity and non-discrimination in employment, and will pay to workmen employed in the performance of the contract the wages to which they may be entitled.

6 The contractor will provide the municipality with a performance bond, conditioned upon the faithful performance of the contract in accordance with the plans, specifications and conditions thereof, and a payment bond, conditioned on the prompt payment of all material furnished and labor supplied or performed in the prosecution of the work, in accordance with the Public Works Contractors' Bond Law of 1967; and an affidavit accepting the provisions of the Workmen's Compensation Act of 1915, as amended.

_____

CONTRACTOR

BY: _____ **DATE:** _____
TITLE:

WITNESSED OR ATTESTED BY: _____ **DATE:** _____
TITLE:

TO BE EXECUTED ONLY IN THE EVENT THE ABOVE PROPOSAL IS ACCEPTED

ACCEPTED ON : _____
DATE

Chippewa Township
_____
MUNICIPALITY

BY: _____
TITLE:

BY: _____
TITLE:

SEAL

BY: _____
TITLE:

ATTESTED BY: _____
TITLE:

---

pennsylvania
DEPARTMENT OF TRANSPORTATION

ATTACHMENT 1

TO MS - 944 (PROPOSAL AND CONTRACT MS - 944)

County: Beaver

Municipality: Chippewa

Project Number: 2020-1

LOCATION OF WORK:

Darlington Road from RTE, 251 (Blackhawk Road) to the Township Line

THIS PORTION TO BE COMPLETED BY THE MUNICIPALITY

DESCRIPTION OF WORK: All work including Milling, Cleaning, Tack Coat, Water Valves, Manholes, and Catch Basin Risers, Wedge Curbs in Cost of unit price. Traffic Control will provided by contractor.

ESCALATOR CLAUSE:(if adopted by Municipality.)

SCHEDULE OF PRICES

1 Item No.	2 Approximate Quantities	3 Unit	4 *Description	5 Unit Price	6 Total
1	600	sy	provide 4" Milling of multiple distressed areas and		
			dispose of materials offsite		
2	600	sy	install 4" of 19mm HMA binder course and compact		
			in place		
3	28,000	sy	sweep, apply tack coat to existing surface		
4	28,000	sy	install 1.25 of 9.5mm HMA wearing course to level road		
5			apply line painting		
				SUBTOTAL	

*** DESCRIPTION:**
Must include ADT on wearing surfaces
USE OF CUTBACK ASPHALT IS PROHIBITED BETWEEN MAY 1st AND OCTOBER 31st, EXCEPT AS NOTED IN BULLETIN NO. 25.
FOR OPTION OR PHASE BIDS THE TOTALS FOR EACH MUST BE INCLUDED.

SUBTOTAL FROM OTHER ATTACHMENTS	
BID TOTAL FOR A NON OPTION / PHASE BID	
OPTION 1 OR PHASE 1 BID TOTAL	
OPTION 2 OR PHASE 2 BID TOTAL	
OPTION 3 OR PHASE 3 BID TOTAL	

(5-06)

SPECIAL PROVISIONS TO CONTRACT MS-944 (Attachment 1-A)
CONTAINS IMPORTANT INFORMATION FOR THE CONTRACTOR

The Prime Contractor and subcontractors must comply with all of the following provisions that are marked with an "X".

Traffic Control and Safety Devices to be provided by the Contractor. X
(Maintenance and Protection of Traffic to comply with current MUTCD, Publication 212 and Publication 213.)
Delivery tickets for all materials.
CS-4171 Certificate of Compliance and/ or TR-465 Daily Bituminous Mixture Certification required for all materials.
Notify the Municipality five working days prior to start of project. X
Work to be completed on or before 10/31/20. After 11/01/20 Liquidated damages apply at X
Xhe rate of $ 870.00 per calendar day.
Roadway to be power broomed by (contractor municipality)prior to start of project.
Excess material to be removed by (contractor X municipality .)
Municipality to inspect project. X
Need Bill of Lading for each shipment of bituminous material per Section 702.1(c) of Specifications 408.
Tack Coat required per Section 460, or 409 for superpave, of Specifications 408 and is incidental to paving item unless noted otherwise. X
Prime Coat required per Section 461 of Specifications 408.
Bituminous Seal on all abutting pavement and curbs required. X
Saw cut or Milled Paving Notch required and incidental to paving item unless noted otherwise. X
Scratch/ Leveling Courses to be placed at the discretion of appointed inspector(s).
Full width pavement with one pass required.
Municipality reserves the right to limit work completed. X
Taper pavement the last 3 feet to curb.
For FOB Source bids, hauling distance will determine selection of bid award.
Municipality reserves the right to procure material which best suits their requirements after all bids and items are reviewed. X
Incidental Preparation and clean up required. (Project Construction Materials) X
The municipality reserves the right to make an award on the basis of the aggregate total for all like items on which quotations are received.
Provide design, which meets Specifications Form 408 to the municipality 5 days prior to start of work.
Contractor responsible for defects that occur within one year of applications. X
Contractor required to review proposed project with Municipality's Representative prior to bidding.
Oil Samples required from each distributor truck by contractor (1) one quart : A.M. & P.M. and witnessed by municipality and retained by municipality. (Oil samples must be placed in an approved type container that is compatible with oil sample.)
At least three random stone samples to be taken by contractor on project site witnessed by municipality and retained by municipality.
Complete all testing in accordance with Specification Form 408 Section 409 except for superpave volumetric testing.
Notice to Proceed will be the date of Contract acceptance. X
Final Completion Certificate & Notice of Completion required. X
Future award of Contract will be based on quality of work as determined by the municipality.
Contractor, notify all residents of pending work to be performed. X

My signature signifies that I have read and understand the above special provisions to this contract, and by being authorized by this company to act as their authorized representative, and on their behalf hereby agree to adhere to any and all of the provisions pertaining to this contract.

Contractor's Representative	Date	Municipality's Representative	Date
		Chippewa Township	
Company		Municipality	

PROPOSAL AND CONTRACT INSTRUCTIONS- FORM 944

1 The proposal must be typewritten or printed.

2 If more than one proposal on any project is submitted by an individual, firm or partnership, corporation or association under the same or different names, only one lowest proposal will be considered.

3 Description of Work- - -

A. If additional space is needed, insert appropriately numbered attachment and note "Continued on attached work sheets."

4 Part A of Page 1 to be completed by municipality. Part B of Page 1 to be completed by contractor. Schedule of Prices - Column #1 (Item), #2 (Approximate quantities), #3 (Unit, i.e., ton, square yard, linear feet, etc.) And #4 (Description, i.e. , bituminous materials - 9.5 mm S & L, 12.5 mm Wearing, 25.0 mm Base Course, etc.) Must be filled in by the municipality to insure equitable bidding. Columns #5 (Unit Price), #6 (Total) and total amount of bid, must be filled in by the contractor. If more space is needed, add note at the bottom of the page; "Continued on Attachment No. 1-A", and add additional sheet designated as Attachment No. 1-A, 1-B, etc.. Repeat for each additional sheet required. As required by Publication 408, Section 102.06(e),each bidder must submit a completed Form 7126 - Anti-Collusion Affidavit with its bid proposal.

5 If liquidated damages are to be assessed, add the following sentence to Part A #2. If all work is not completed on time, liquidated damages will be assessed at the rate of $250.00 per additional working day. (OR ". . . as set forth in the attached schedule.")

6 Payment and Performance bonds are provided only by the successful bidder. Contracts from $4,000.00 up to $5,000.00 in Second Class Townships - performance bond must be not less than 10% or greater than 100% of amount of contract. Contracts greater than $1,500.00 up to $10,000.00 in First Class Townships, Boroughs and Third Class Cities - bonds must be between 50 % to 100% of the contract amount. Contracts in excess of $5,000.00 in Second Class Townships and in excess of $10,000.00 in First Class Townships, Boroughs and Third Class Cities - bonds must be in 100% of the amount of the contract. Bond Forms MS-944 Attachments 2 and 3 and Workmen's Compensation Affidavit - Attachment 4 must be submitted by the successful bidder within 20 days of the contract award. Failure to submit the bonds shall constitute grounds to cancel the contract.

7 *Construction projects, where the estimated cost of the total project exceeds $25,000, are subject to the provisions of the Pennsylvania Prevailing Wage Act 442. It is the responsibility of the municipality to obtain the Prevailing Wage Scale for the area and include it in the proposal. If the Prevailing Wage Act applies, this fact shall be noted in the advertisement.

On projects utilizing Federal Revenue Sharing Funds, if the project cost exceeds $2,000 and is financed with 25% or more Federal Revenue Sharing Funds, the Davis Bacon Act applies. Again, it is the responsibility of the municipality to obtain the Davis Bacon Wage Rates, include them in the proposal and note the fact in the advertisement. If both acts are applicable, The Davis Bacon Act has preference over the Pennsylvania Prevailing Wage Act.

8 An ESCALATOR CLAUSE is optional; if used, it must be included in the proposal prepared by the municipality. An escalator clause may not be inserted by the contractor.

*(1961, Aug. 15, P.L. 987; 43 P.S. 165)

MS-944-S (7-09)

Attachment 2

pennsylvania
DEPARTMENT OF TRANSPORTATION

PERFORMANCE BOND
(With Corporate Surety)

KNOW ALL MEN BY THESE PRESENTS, That we, _____

(NAME AND ADDRESS OF CONTRACTOR)

as Principal and _____

(SURETY COMPANY)

a corporation incorporated under the laws of the State of _____ as Surety

(NAME OF STATE)

are held and firmly bound unto Chippewa Township in the full and just sum of

(NAME OF MUNICIPALITY)

_____ ($) dollars

lawful money to the United States of America, to be paid to the above Municipality or its assigns, to which payment well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the above bounden Principal has entered into a contract with the above Municipality, bearing even date herewith, for the undertaking of certain obligations as therein set forth.

NOW, THEREFORE, the condition of this obligation is such that if the above bounden Principal, as Contractor, shall in all respects comply with and faithfully perform the terms and conditions of said Contract, including the Specifications and conditions referred to and made a part thereof, and such alterations as may be made in said Specifications as therein provided, and shall well and truly, and in a manner satisfactory to the municipality fulfill all obligations as therein set forth, then this Obligation shall be void, but otherwise the same shall be and remain in full force, virtue and effect.

It is further provided that any alteration which may be made in the terms of the contractor or its specifications with the express approval of the Municipality or the Principal to the other, shall not in any way release the Principal and the Surety or either or any of them, their heirs, executors, administrators, successors or assigns from their liability hereunder, notice to the surety of any such alteration or forebearance being hereby waived.

IN WITNESS WHEREOF, the said Principal and Surety have duly executed this Bond under Seal, pursuant to due and legal action authorizing the same to be done on _____.

(DATE OF BOND)

PLACE SEAL HERE

Attest / Witness: _____

CONTRACTOR

BY _____

TITLE:

_____

TITLE:

PLACE SEAL HERE

Attest / Witness: _____

SURETY COMPANY

_____

TITLE:

_____

TITLE:

PAYMENT BOND

pennsylvania
DEPARTMENT OF TRANSPORTATION

KNOW ALL MEN BY THESE PRESENTS, that we _____

as PRINCIPAL and _____
a corporation incorporated under the laws of the State of _____ as SURETY, are held and firmly bond unto the _____, in the full and just sum of _____($)dollars, lawful money of the United States of America, to be paid to the said _____ or its assigns, to which payment well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the above bounden Principal has entered into a contract with the above municipality hereinafter called Obligee, bearing even date herewith, for the improvement of a certain section of highway or bridge in said Municipality consisting of:

_____

_____

for approximately the sum of: _____ ($) dollars.

NOW, THEREFORE, the condition of this obligation is such that if the above bounden PRINCIPAL shall and will promptly pay or cause to be paid in full all sums of money which may be due by contract or otherwise, to any individual, firm, partnership, association or corporation, for all material furnished or labor supplied or performed in the prosecution of the work, whether or not the said for material or labor entered into and became component parts of the work and for rental of the equipment used and services rendered by public utilities in, or in connection with the prosecution of such work, then this obligation to be void, otherwise to remain in full force and effect.

The **PRINCIPAL** and **SURETY**, hereby, jointly and severally, agree with the Obligee herein that any individual firm, partnership, association or corporation, which has performed labor or furnished material in the prosecution of the work as provided, and any public utility which has not been paid in full therefor, may sue in assumpsit on this Payment Bond in his, their, or its own name and may prosecute the same to final for such sum or sums as may be justly due him, them or it, and have execution thereon. Provided, however, that the Obligee shall not be liable for the payment of any costs of expenses of such suit.

RECOVERY by any individual, firm, partnership, association or corporation hereunder shall be subject to the provisions of the "Public Works Contractors' Bond Law of 1967", Act No. 385, approved December 20, 1967,P.L. 869, which Act shall be incorporated herein and made a part hereof, as fully and completely as though its provisions were fully and at length herein recited.

It is further provided that any alterations which may be made in the terms of the contract or in the work to be done or materials to be furnished or labor to be supplied or performed under it or the giving by the Obligee of any extension of time for the performance of the contract or any other forebearance on the part of either the Obligee or the Principal to the other, shall not in any way release the PRINCIPAL and the SURETY or SURETIES of any such alteration, extension of forebearance being hereby waived.

IN WITNESS WHEREOF, the said PRINCIPAL and SURETY have duly executed this Bond under seal this _____ day of _____ ,20 ____ .

PLACE SEAL HERE

WITNESS: _____

TITLE:

#REF!
CONTRACTOR

BY: _____
TITLE:

PLACE SEAL HERE

WITNESS: _____

TITLE:

SURETY COMPANY

_____
TITLE:

Attachment 4

pennsylvania
DEPARTMENT OF TRANSPORTATION

AFFIDAVIT RE

ACCEPTING PROVISIONS OF THE WORKMEN'S COMPENSATION ACT

State of)
)
) ss:
)
County of)

he has
being duly sworn according to law deposes and says that they have
it has

accepted the provisions of the Workmen's Compensation Act of 1915 of the Commonwealth of Pennsylvania, with

has his
its supplements and amendments, and have insured their liability thereunder in accordance with the terms of said
its

Act with _____.
(SURETY COMPANY)

_____
(TYPE OR PRINT) CONTRACTOR

BY _____
SIGNATURE

Sworn to and subscribed before me this ____ day of _____ A.D. 20 ___.

_____
SIGNATURE

_____
My Commission Expires (DATE)

D-7126 (7-09)

ANTI-COLLUSION AFFIDAVIT

pennsylvania
DEPARTMENT OF TRANSPORTATION

County Beaver

Municipality Chippewa

Project Number 2020-1

Fed. Project No. _____
(If Applicable)

State of _____

County of _____

The undersigned deponent deposes and says that he is the _____

of the _____ Company; that he is authorized to make this affidavit on behalf of said company in compliance with section 102.06 (e) of Department Specifications, Publication 408, as amended and that the said company has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract.

_____
(Contractor)

BY

_____

Sworn to and subscribed before me the undersigned notary public this

_____ **day of** _____, _____.

_____
Notary Public

My Commission expires _____

MS-NCP

pennsylvania
DEPARTMENT OF TRANSPORTATION

Chippewa Township **MUNICIPALITY**

NOTICE OF COMPLETION

IN REFERENCE TO PROJECT # 2020-1

Name of Contractor _____

Performance of work as specified on the above numbered contract is completed and final pavement inspection has been made by the contractor and municipality in accordance with the terms of the contract awarded.

DATE OF AWARD _____

_____
Signature of Municipality

_____
Signature of Contractor

Both copies of this form to be filled by the Contractor-Municipality on completion of final pavement restoration.

THIS PORTION TO BE COMPLETED BY MUNICIPALITY

FINAL COMPLETION CERTIFICATE

By the affixing of my signature I hereby certify that final inspection has been made and all work has been performed in accordance with the above contract # and is hereby accepted by the municipality as completed.

_____
Authorized Agent for the Municipality

*DATE _____

*** The contractor is responsible for maintenance of permanent pavement repairs for a period of one year from this date.**

CS-4171 (11-09)

pennsylvania
DEPARTMENT OF TRANSPORTATION

CERTIFICATE OF COMPLIANCE

INSTRUCTIONS TO COMPLETE THE FORM

1. **COUNTY, LR/SR, SEC/SEG, ECMS#**
   To be completed by the party that will ship the material to the construction project, otherwise leave blank.

2. **NAME OF MANUFACTURER, FABRICATOR, COATER, PRECASTER OR PRODUCER**
   Check appropriate box; either manufactured, fabricated, coated, precasted or produced as appropriate.
   Also, provide the name and the supplier code of the manufacturer, fabricator, coater or precaster of the material listed in Bulletin #15 or the name and the supplier code of the Producer of material listed in Bulletin # 14, 41 or 42.; to be completed by the party that is shipping approved material to the next destination.

3. **MEETS SPECIFICATION REQUIREMENTS**
   To be completed by the party that is shipping approved material to the next destination.

4. **SHIPPED TO**
   List name of company that material is being shipped to.

5. **LOT NUMBER, QUANTITY, DESCRIPTION OF MATERIAL**
   To be completed by the party that is shipping approved material to the next destination.

6. **CHECK THIS BLOCK IF YOUR PRODUCT CONTAINS IRON OR STEEL**
   To be completed by the party that is shipping approved material to the next destination.

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY)**
   If you are a Manufacturer, Fabricator, Coater or Precaster listed in Bulletin #15, or a Producer listed in Bulletin # 14, 41 or 42, check block # 1.

   If you are a *Distributor, *Supplier or *Private Label Company of Bulletin #15 items, check block # 2.
   (* - These categories are not eligible for listing in Bulletin #15, however, you may provide material for PennDOT projects on condition that the material being shipped is listed in Bulletin #15.)

8. **CERTIFICATION REQUIREMENTS, Name, Title, Date, Company Name, and Signature**
   Enter the required information and sign the Certificate of Compliance form.

9. **COMPLETE LINE # 9 ONLY IF YOU CHECKED BLOCK # 2 ON LINE # 7, OTHERWISE LEAVE BLANK**
   List company that sold the material to you. (Company Name)

IN ADDITION:

2. & 5. Private Label Companies who complete the Certificate of Compliance form CS-4171 must identify the true manufacturer (Line 2) and the approved material (Line 5) as it is listed in Bulletin # 15 under that manufacturers listing.

After completing the Certificate of Compliance form CS-4171, maintain the original at your company's location. A copy of the Certificate of Compliance form must accompany your material shipment to its next destination. Also, if you receive material shipments from other companies related to PennDOT projects, the accompanying Certificate of Compliance forms must be kept on file at your location. These files must be available for inspection and verification by a Department Representative for a period of not less than THREE years from the date of the last shipment.

CS-4171 (11-09)

pennsylvania
DEPARTMENT OF TRANSPORTATION

CERTIFICATE OF COMPLIANCE

1. ◆COUNTY:_____ ◆LR/SR:_____ ◆SEC/SEG:_____ ◆ECMS#:_____
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:

☐ Manufactured ☐ Fabricated ☐ Coated ☐ Precasted ☐ Produced

By_____ _____
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of

Publication 408, Section(s) _____

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to:_____
(Company Name)

5.

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.

6. ☐ **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL** I / WE certify that we received a copy of the Mill Certification Form(s) from the manufacturer(s) of any steel or iron materials contained in our product and all manufacturing processes including coatings application (e.g.. epoxy, galvanizing, or painting) have occurred in the United States and we are maintaining copy(s), in our files in accordance with Section 106.03(b)3. Note: While coating materials themselves are not covered by Buy America, the application of these materials on steel or iron must occur in the United States.

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

☐ **#1 Manufacturer, Fabricator, Coater, Precaster Listed In Bulletin # 15, or Producer Listed In Bulletin # 14, 41 or 42**
I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

☐ **#2 Distributor, Supplier or *Private Label Company Not Listed In Bulletin # 15. Also, complete line 9**
I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** _____ **TITLE:**_____

COMPANY NAME : _____

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official **(QC Staff only if you checked block #1 on line 7)**

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

After completing the Certificate of Compliance form CS-4171, maintain the original at your company's location. A copy of the Certificate of Compliance form must accompany your material shipment to its next destination. Also, if you receive material shipments from other companies related to PennDOT projects, the accompanying Certificate of Compliance forms must be kept on file at your location. These files must be available for inspection and verification by a Department Representative for a period of not less than THREE years from the date of the last shipment.

***Private Label Companies** must **identify** the true **manufacturer** (Line 2) and the **approved material** (Line 5) as **listed in Bulletin # 15.**